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# **Detection of Non-Proliferative Diabetic Retinopathy** from Digital Fundus Images

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ABSTRACT

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Introduction: The human eye is the most often affected by Diabetes. It deteriorates the functioning of the retina. Diabetic Retinopathy, Glaucoma, Macular Edema are some of the commonly found ophthalmic disorders among diabetic people. Microaneurysms are the earliest symptoms of Diabetic Retinopathy. If these symptoms are detected and treated in the early stages, then they can avoid vision loss among patients.

Objective: The main objective of this research work is to detect microaneurysms present on the surface of the retina that characterizes Non-Proliferative Diabetic Retinopathy.

Methods: The algorithm proposed in this paper is based on morphological operations eliminating blood vessels and the optic disc, followed by the detection of Microaneurysms. Grey level Co-occurrence Matrix(GLCM) and Histogram of Oriented (HOG) features are extracted to identify the Microaneurysms, and various classifiers are tested. HOG features are useful in finding the regions affected in a retinal image as they compute the histogram of gradients that can facilitate tasks such as classification, detection, and recognition. Various classifiers are tested on public fundus databases with the proposed method.

Results: For the STARE Database, the Decision tree classifier yielded values for accuracy 0.95, recall 0.9, and precision 1.0. Similarly, accuracy 0.91, recall 0.94, and precision 0.92 were computed for the thee-Ophtha database and accuracy 1.0, recall 0.94, and precision 1.0 for the DIARETDB1 database.

Conclusion: From experimentation, it was observed that the decision tree classifier and HOG features yield the best results for detection of Non-Proliferative Diabetic Retinopathy(NPDR) from most of the public fundus databases. The encouraging values computed for performance evaluation testify to the efficiency and robustness of the proposed method.

Key Words: Microaneurysms, Digital fundus images, Optic disc, GLCM features, Decision tree classifier, Diabetic Retinopathy, HOG features

## INTRODUCTION

Human beings in different age groups are commonly affected by a lifestyle disorder known as Diabetes. It deteriorates the working of numerous organs, particularly the functioning of the human eye and retina. Diabetic patients suffer from several retinal complications such as Diabetic Retinopathy, Glaucoma, Macular Edema and others. Nowadays, Ophthalmologists use a non-mydriatic fundus camera to check the presence of these diseases. The interior portion of the eye can be examined with the help of a fundus camera. It gives a view of the fovea, optic disc, macula, and retina. High levels of blood sugar can damage the retina leading to loss of vision. Microaneurysms are the first signs of Non-Proliferative Diabetic Retinopathy (NPDR). They appear as tiny red dots caused by swellings of the capillaries. They are localized capillary dilatations, which are often present in clusters. A fundus image with Microaneurysms is shown in Figure 1.



Figure 1: Fundus image with Microaneurysms.



Many researchers have worked on fundus images to explore image processing techniques for the detection of Microaneurysms. Quereshi et al.<sup>1</sup> have reviewed significant CAD systems developed using digital image processing techniques. They also describe the limitations and future trends of CAD systems to aid the researchers in working with Diabetic Retinopathy. Malay Kishore Dutta et al.<sup>2</sup> have worked on a region-based approach for the detection and grading of Diabetic Retinopathy. Istvan Lazar and Andras Hajdu<sup>3</sup> explored the possibility of detection of Microaneurysms by analyzing directional cross-section profiles centred on the local maximum pixels of the preprocessed image. Sreng et al.<sup>4</sup> have used image enhancement techniques and 2D wavelet transformation for the detection of Microaneurysms. Ren et al.<sup>5</sup> have applied Top-hat transformation on the green channel to separate the Microaneurysms from the vasculature along with Gaussian filtering. Hipwell et al.6 have extracted candidate Microaneurysms by applying algorithms that highlight small circular features. Rosas-Romero et al.7 implemented normalization of fundus images with its statistical properties and morphological operations for candidate extraction. Khojasteh et al.8 used convolution neural for automated detection of Microaneurysms. Manjaramkar and Kokare9 distinguished between MA and Non-MAs regions by exploring the statistics of geometrical properties in connected regions. Li. et al.<sup>1</sup> presented a cross-disease attention network (CANet) for grading Diabetic Retinopathy and macular oedema. They explored image-level supervision to find the relationship between the diseases. They developed an attention module that can select useful features for specific diseases and the further captured relationship between the two diseases using a disease-dependent attention module. Bannigidad and Deshpande<sup>17</sup> implemented a multistage approach for exudates detection in fundus images that consolidates morphological operations and thresholding. The objective of this paper is to detect Microaneurysms using morphological operations and thresholding and explore GLCM and HOG features along with various machine learning algorithms.

#### **MATERIALS AND METHODS**

This experiment is implemented using fundus images from STARE<sup>11</sup>, e-Ophtha<sup>12</sup>, and DIARETDB1<sup>13</sup> databases. These databases are free and are made available for research purposes. STARE database consists of 20 digital fundus images and 20 ground truth images, which can be used for evaluating different vessel segmentation algorithms. These images have been captured by a Top-Con TRV-50 camera having 700 × 605 pixel resolution. e-Ophtha is another database containing fundus images specially established so that research work in Diabetic Retinopathy can be undertaken. It consists of 148 images with Microaneurysms and 233 images having no lesions. Image sizes are in the range of  $1440 \times 960$  pixels to  $2544 \times 1696$  pixels. The DIARETDB1 data set contains a total of 89 fundus images captured with a 50-degree field-of-view. Among them, 84 contain signs of Diabetic Retinopathy and 5 do not contain any Microaneurysms.

#### **Proposed method**

Microaneurysms present in a retinal image is detected by a multi-stage approach in the proposed method. The multistage process proceeds by initially performing preprocessing, followed by segmentation, optic disc elimination, and Microaneurysms detection. This is aided by the feature extraction process and classification. The different stages in the proposed method are presented in Figure 2.



Figure 2: Proposed method for detection of Microaneurysms.

#### Preprocessing

Preprocessing is an essential step in fundus images because lesions and exudates have almost the same intensity values as the remaining normal components of the retina. It is essential to highlight smaller details like red dots that indicate Microaneurysms, Yellow regions, indicating exudates and haemorrhages to detect the presence of Diabetic Retinopathy. Most fundus images suffer from illumination differences due to the type of camera used, the field of view used to capture the fundus image, and lighting. Initially, the original fundus images from all databases are resized to a standard size of 576 X 720, followed by the extraction of the green channel. This facilitates the detection of Microaneurysms to a large extent. This image is then converted into grayscale<sup>16</sup>. The contrast of the grayscale image is enhanced using Contrast Limited Adaptive Histogram Equalization (CLAHE). It transforms the intensity values to higher intensities to increase the contrast. The grayscale image is depicted in Figure 3A and the fundus image after contrast adjustment is shown in Figure 3B.

Canny edge detection<sup>14</sup> is further applied to the fundus image to highlight the edges. The circular border of the fundus image is masked and eliminated, as shown in Figure 3C. Next, a binarized image is obtained by setting a threshold value.



Figure 3: (a) Grayscale Image (b) Contrast adjusted image and (c) Fundus image after canny edge detection.

#### **Blood Vessel Removal**

A network of blood vessels is prominently present in the retina. Identification and elimination of the complete vasculature are essential to accurately identify candidates<sup>15</sup> Microaneurysms from the digital fundus images. Morphological operations<sup>14</sup> are implemented to detect the blood vessel network and eliminate them from the fundus image.

$$A(x,y) = (I \bigoplus S)(x,y) = \max\{I(x-x', y-y') + S(x',y')\}$$
(1)

$$B(x,y)=(I \oplus S)(x,y) = \min\{I(x+x', y+y') - S(x',y')\}$$
(2)

$$I_n = A(x,y) - B(x,y)$$
(3)

where I(x,y) is the image obtained after preprocessing, and S(x',y') is the structuring element. The image thus obtained is shown in Figure 4.



Figure 4: Image after blood vessel removal.

#### **Segmentation**

Ophthalmologists identify Microaneurysms as tiny dots that are red and have a diameter ranging between 25 to 100  $\mu$ m. Segmenting the candidates correctly is a challenging task due to their tiny size. Segmentation techniques such as circular Hough transform don't work well due to the small size. An important characteristic of the retinal image is that there are disparities in intensity and texture. Thus, a local thresholding based segmentation technique proposed and used in this experiment. Column wise neighbourhood operation is performed on  $I_n$  and the fixed threshold (T) is applied to filter the Microaneurysms.

$$I(x,y) = 1 \text{ if } p(x,y) > T$$
 (4)

$$I(x,y) = 0$$
 if  $p(x,y) < T$  (5)

where, T = 0.7. An important task at this stage is to identify and eliminate the optic disc which a natural anatomical circular structure that is present on the retina. Optic Disc identification is a challenging task in the processing of fundus images because the exact location of the optic disk is not known. It may be present at the left, right or centre of the retina. In the segmented image, the largest connected component is computed to locate the optic disc. The equation of a circle is used to compute a circular mask for eliminating the optic disc.

$$M = \sqrt{(x - mc)^2 - (y - mr)^2}$$
(6)

where *x*, *y*, *mc*, *mr* are mesh grid vectors and medians of a row and column coordinates respectively. The optic disc is eliminated by subtracting the mask(M) from the segmented image as follows:

$$Ir = I(x, y) - M \tag{7}$$

Next, the outer border is eliminated to obtain image *Inc* and morphological closing operation is applied to detect the Microaneurysms accurately.

$$Ima = f(Inc) \tag{8}$$

Further, contrast enhancement is applied using CLAHE so that the affected regions are highlighted. This is followed by an AND operation on  $\phi(Ima)$  and  $\psi(Ima)$ .  $\phi(Ima)$  complements the image and  $\psi(Ima)$  inverts the fundus image to highlight the microaneurysms. Figure 5 shows the fundus image obtained after the segmentation of Microaneurysms.

$$Ie = AND(\varphi(Ima), \psi(Ima))$$
(9)



Figure 5: Image after segmentation and optic disc removal.

The algorithm for the proposed method is described below:

Step 1: Input Digital Fundus Image Step 2: Resize the Image to 576 X 720 Step 3: Extract the Green Channel of resized fundus image Step 4: Convert the image obtained in Step 3 to grayscale Step 5: Apply CLAHE to image obtained in Step 4 Step 6: Apply Canny edge detection to image obtained in Step 5 Step 7: Eliminate Blood Vessels by applying Morphological operation to the image obtained in Step 6 Step 8: Execute columnwise neighbourhood operations and thresholding to the image obtained in Step 7 Step 9: Compute a circular mask and subtract it from the image obtained in Step 8 to eliminate the optic disc Step 10: Complement and invert the image obtained in Step 9 Step 11: Apply AND operation to image obtained in Step 10 Step 12: Extract HOGfeatures and implement Decision tree classifier to classify healthy and diseased images

#### **Feature Extraction and Classification**

This algorithm explores Gray Level Co-occurrence Matrix (GLCM) and Histogram of Oriented Gradients (HOG) features for classification. GLCM is a method to statistically determine the spatial relationship of pixels. It also calculates how often certain specific values occur in the image. HOG is commonly used to detect objects and plays a vital role in computer vision. HOG features are obtained by dividing the image into regions, and for each region, gradients and orientations are computed. The feature descriptor for HOG highlights the structure or shape of an object<sup>18</sup>. In this experiment, various classifiers such as the Support Vector Machine (SVM) classifier, k-Nearest Neighbour (k-NN) classifier, and Decision tree classifiers have been tested. It was found that the Decision tree classifier yields the best results of classification for the proposed method. Decision tree classification is based on tree representation wherein every leaf node represents a class label, and the internal nodes represent attributes.

#### **Experimental Results and Discussions**

The proposed algorithm was executed on public fundus databases viz, STARE, e-Ophtha, and DIARETDB1 and implemented using MATLAB R2015b. These are freely available databases designed to facilitate research in the detection of retinal disorders. In all, 20 images from the STARE database, 381 images from thee-Ophtha database, and 89 images from DIARETDB1 have been tested in the experiment. Sample digital fundus images from STARE, DIARETDB1 and e-Ophtha are shown in Figure 6a, 6c and 6e and their corresponding segmented images using the proposed method are depicted in Figure 6b, 6d and 6f respectively.



**Figure 6:** (a) Sample Original Fundus image from STARE database (b) Segmented image of (a), (c) Sample Original Fundus image from e-Ophtha database (d) Segmented of (a), (e) Sample Original Fundus image from e- DIARETDB1 database (f) Segmented image of (a).

The classification of fundus images from STARE, e-Ophtha, and DIARETDB1 databases using GLCM features with various classifiers is shown in Table 1.

## Table 1: Classification of fundus images from STARE, e-Ophtha, DIARETDB1 databases using GLCM features

Classifier	Database	Images			Classification result				
		D	H	Т	TP	FP	FN	TN	
	STARE	10	10	20	09	05	01	09	
SVM clas- sifier	e-Ophtha	148	233	381	86	27	62	86	
SILICI	DIARETDB1	84	05	89	84	05	00	84	
k-NN Clas-	STARE	10	10	20	09	05	01	09	
	e-Ophtha	148	233	381	86	27	62	86	
SILICI	DIARETDB1	84	05	89	84	05	00	84	
Decision tree Clas-	STARE	10	10	20	08	01	09	02	
	e-Ophtha	148	233	381	217	27	16	121	
sifier	DIARETDB1	84	05	89	84	00	02	03	

D-Diseased, H-Healthy, T-Total, TP- True Positives, FP-False Positives, FN-False Negatives, TN – True Negatives

The classification of fundus images from STARE, e-Ophtha, and DIARETDB1 databases using HOG features with various classifiers is shown in Table 2.

Table 2: Cla	assification of	f fundus im	ages fr	om ST/	ARE
e-Ophtha,	DIARETDB1	databases	using	HOG	fea
tures					

Classifier	Database	Images			<b>Classification result</b>			
		D	Н	Т	ТР	FP	FN	TN
	STARE	10	10	20	09	00	01	10
SVM clas-	e-Ophtha	148	233	381	205	62	28	82
sifier	DIARET- DB1	84	05	89	84	05	00	00
	STARE	10	10	20	09	04	06	01
k-NN	e-Ophtha	148	233	381	139	34	94	114
Classifier	DIARET- DB1	84	05	89	84	05	00	00
Decision	STARE	10	10	20	09	00	01	10
	e-Ophtha	148	233	381	220	18	13	130
sifier	DIARET- DB1	84	05	89	84	00	00	05

D-Diseased, H-Healthy, T-Total, TP- True Positives, FP-False Positives, FN-False Negatives, TN – True Negatives

The performance of the proposed method is evaluated on the basis various evaluation measures [16] as follows:

$$Ac = \frac{(TN+TP)}{(TP+FP+TN+FN)}$$
(10)

Accuracy: Classification accuracy relates to the number of correctly identifies images, either healthy or diseased from a given set of images.

$$Re = \frac{TP}{(TP+FN)}$$
(11)

Recall: The ability of a classifier to find all positive images correctly is known as Recall. The recall is defined as:

$$\Pr = \frac{TP}{(TP+FP)}$$
(12)

Precision: In Information retrieval systems precision is the fraction of relevant instances of images classified among the retrieved instances.

The comparison of results based on the test parameters using GLCM features on STARE, e-Ophtha, and DIARETDB1 databases are given in Table 3.

#### Table 3: Comparison of results of classification based on the test parameters using GLCM features on STARE, DIARETDB1, e-Ophtha

Data Base	SVM Classifier			k-NN Classifier			Decision tree Classifier		
	Ac	Re	Pr	Ac	Re	Pr	Ac	Re	Pr
STARE	0.7	0.9	0.64	0.9	0.8	1.0	0.85	0.8	0.88
e-Ophtha	0.76	0.58	0.76	0.76	0.58	0.76	o.88	0.93	0.88
DIARET- DB1	0.94	0.94	1.0	0.94	0.94	1.0	0.97	0.96	1.0

Ac-Accuracy, Re-recall, Pr-Precision

For the STARE database, the SVM classifier yielded values 0.7 for accuracy, 0.9 for recall, and 0.64 for precision. Similarly, accuracy 0.76, recall 0.58, and precision 0.76 were computed for e-Ophtha database and accuracy 0.94, recall 0.94, and precision 1.0 for DIARETDB1 database. When the proposed method was tested with a k-NN classifier, for the STARE database, the yielded values were 0.9 for accuracy, 0.8 for recall, and 1.0 for precision. Similarly, accuracy 0.76, recall 0.58, and precision 0.76 were computed for the e-Ophtha database and accuracy 0.94, recall 0.94, and precision 1.0 for the DIARETDB1 database. The decision tree classifier for the STARE database yielded values for accuracy 0.85, recall 0.8, and precision 0.88. Similarly, accuracy 0.88, recall 0.93, and precision 0.88 were computed for e-Ophtha database and accuracy 0.97, recall 0.96, and precision 1.0 for DI-ARETDB1 database. Also, the comparison of results based on the test parameters on the STARE database, e-Ophtha database, and DIARETDB1 database using HOG features are given in Table 4.

Table 4: Comparison of results of classification based on the test parameters using HOG features on STARE, DIARETDB1, e-Ophtha databases

Data Base	SVM Classifier			k-NN Classifier			Decision tree Classifier		
	Ac	Re	Pr	Ac	Re	Pr	Ac	Re	Pr
STARE	0.95	0.9	1.0	0.75	0.9	0.69	0.95	0.9	1.0
e-Ophtha	0.76	0.87	0.75	0.66	0.59	0.80	0.91	0.94	0.92
DIARETDB1	0.94	1.0	0.94	0.94	1.0	0.94	1.0	0.94	1.0

Ac-Accuracy, Re-recall, Pr-Precision

For the STARE database, the SVM classifier yielded values 0.95 for accuracy, 0.90 for recall, and 1.0 for precision. Similarly, accuracy 0.76, recall 0.87, and precision 0.75 were computed for the thee-Ophtha database and accuracy 0.94, recall 1.0, and precision 0.94 for the DIARETDB1 database. When the proposed method was tested with a k-NN classifier, for the STARE database, the yielded values were 0.75 for accuracy, 0.9 for recall, and 0.69 for precision. Similarly, accuracy 0.66, recall 0.59, and precision 0.80 were computed for e-Ophtha database and accuracy 0.94, recall 1.0, and precision 0.94 for DIARETDB1 database. The decision tree classifier yielded values for accuracy 0.95, recall 0.9, and precision 1.0 for the STARE database. Similarly, accuracy 0.91, recall 0.94 and precision 0.92 were computed for the thee-Ophtha database and accuracy 1.0, recall 0.94 and precision 1.0 for the DIARETDB1 database.

The comparative results of the proposed method using HOG features with other methods suggested by various researchers are given in Table 5.

# Table 5: Comparative results of the proposed method with other methods

Database	Author	Accuracy
CTADE	Arati et al. [9]	0.84
SIAKE	Proposed Method	0.95
	Ren F. et al.[5]	AUC 0.84
e-Ophtha	Parham Khojasteh et al. [8]	0.86
	Proposed Method	0.91
DIARETDB1	Sreng S. et al. [4]	0.90
	Rosas-Romero R. et al. [7]	0.95
	Arati et al. [9]	0.88
	Parham Khojasteh et al. [8]	0.97
	Proposed Method	1.00

#### **CONCLUSION**

This paper reports a method based on morphological operations and thresholding for the detection of Microaneurysms in fundus images. The proposed algorithm consolidates morphological operations for the elimination of the vasculature present in the retina, removal of the optic disc and accurate detection of Microaneurysms. The proposed technique extracts HOG features and proposes the use of a decision tree classifier as it yields better results of classification on all the databases tested in this experiment. The Decision tree classifier yielded values for accuracy 0.95, recall 0.9, and precision 1.0 for the STARE database. Similarly, accuracy 0.91, recall 0.94, and precision 0.92 were computed for the e-Ophtha database and accuracy 1.0, recall 0.94, and precision 1.0 for the DIARETDB1 database. The encouraging values reported in this paper based on measures of performance evaluation testify to the efficiency of the proposed method.

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