Assessment of Changes in Tongue Position in Class II Division 1 Patients Treated with Functional Appliances - An in-vivo Study

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ABSTRACT

Objective: The objective of this study was to evaluate the effects of functional treatment on positional changes in tongue and to determine if any relationship exists between the functional appliance therapy in Class II division 1 and position of tongue.

Material and Method: Pre-treatment and post-treatment lateral cephalographs of 30 Class II division 1 patients within the age group of 8-12 years were taken and traced. After identification of determining landmarks, reference lines were marked and 4 linear measurements were evaluated – 2 each in horizontal and vertical plane. These linear measurements were compared for reliability using statistical analysis.

Results: Statistically significant (p < 0.05) differences were found in linear measurements indicative of alteration in tongue position in horizontal and vertical direction. There was a significant interrelationship between reduction of ANB angle and movement of tongue in forward direction.

Conclusion: The results suggest that Functional appliances are very effective in altering the position of tongue during treatment of Class II division 1 malocclusion.

Key Words: Tongue, Functional appliance, Class II malocclusion

INTRODUCTION

The form-function debate has always been a perpetual one for the orthodontic community. The effect of facial form on the function and vice-versa has been a subject of great interest and controversy for a research oriented orthodontic clinician.1

Bones grow, as many believed, in a predetermined manner by the genetic code of individual. This predetermined growth may be changed and altered by exposure to altered epigenetic environment or functional environment. Craniofacial form and the factors that alter them have, since many years, intrigued the minds of researchers. Pioneering works by Van der Klaauw and Moss have substantiated the effects of function in changing facial form.2,3

Class II malocclusion is one of the most frequently encountered problems in the orthodontic practice. It causes aesthetic, functional, and psychological problems of varying intensities.4 Class II division I malocclusion may present with skeletal features of mandibular retrognathism, midface protrusion and dental features of distal step molar, an unusually large overjet and/or variable combination of these features5,6. However, the majority of Class II malocclusions can
be attributed to mandibular retrognathia rather than maxillary prognathism.⁷

Tongue is one of the muscular structures of the craniofacial region whose position is affected by different types of malocclusion. Takahashi et al.⁸ recorded the EMG activities of craniofacial temporalis muscle in 10 patients and found that the position of the tongue varies greatly in patients with different malocclusions. Class II malocclusion with mandibular deficiency results in decreased tongue space leading to curvature of the posterior aspect of the tongue.⁹

Severe mandibular deficiency has been linked to reduced space between the cervical column and the mandibular corpus that leads to a posteriorly postured tongue and soft palate.¹⁰ This increases the chances of impaired respiratory function during the day and possibly causing nocturnal problems as well, such as snoring, upper airway resistance syndrome (UARS), and Obstructive sleep apnea syndrome (OSAS)¹⁰. Thus tongue position can contribute significantly towards increasing the future risk of Obstructive sleep apnoea (OSA) in Class II malocclusion patients with reduced tongue space.

There are various treatment modalities available to correct Class II malocclusions including use of headgear, elastics, removable myofunctional appliances, fixed appliances, dental extractions or distalization of maxillary teeth and orthognathic surgery. Awareness of mandibular deficiency as the main contributing part of the Class II structural etiology had led to the increased popularity of mandibular advancement appliances or the functional appliances.

In 1902, Pierre Robin¹¹ was the first to use an intraoral appliance to bring the lower jaw forward in newborns with mandibular deficiency, thereby preventing posterior relocation of the tongue during sleep and the occurrence of oropharyngeal collapse. Today, this concept is widely used in dentofacial orthopaedics to stimulate mandibular growth and functional appliances form the mainstay of treatment of skeletal Class II involving mandibular deficiency in growing children.¹²,¹³

The term functional appliance refers to a variety of orthodontic appliances designed to induce a change in activity of the various muscle groups that influence the function and the position of the mandible in order to transmit forces to the dentition and the basal bone.¹⁴ Altering the sagittal and vertical mandibular position causes changes in muscular forces and result in orthopedic and orthodontic changes.¹⁴–¹⁶

Several types of functional appliances currently used for Class II treatment are aimed at improving skeletal imbalances, arch form, and orofacial function.¹⁵ During treatment with functional appliance in patients with Class II division 1 malocclusion, mandible is positioned in inferior and anterior position.¹⁴–¹⁶ Consequently, alterations in tongue position can be one of the effects of functional appliance therapy.¹³

Lateral Cephalogram is one of the most commonly used 2-dimensional imaging method for evaluation of tongue position with proven reproducibility.¹⁷ Also it is economical and non-invasive with low dose of radiation. Though there are numerous studies in literature evaluating the skeletal and dental changes brought about by functional appliances, there are very few studies, to our knowledge, which assesses the changes in tongue position in response to functional appliances. Thus this study was carried out with the aim to evaluate the effects of functional treatment on the positional changes in tongue and to determine if any relationship exists between the functional appliance therapy in Class II division 1 and position of tongue.

MATERIALS AND METHOD

The study was conducted in the Department of Orthodontics and Dentofacial Orthopaedics, Sharad Pawar Dental College & Hospital, Sawangi, Wardha in co-ordination with Department of Oral Medicine and Radiology, AVBRH, Sawangi, Wardha. Total 30 cases, within the age group of 8-12 years, were selected from the OPD of Department of Orthodontics, SPDC. After getting informed consent, they were subjected to cephalometric evaluation and treated with functional appliance for an average of 8 months.

The following criteria were established for the sample:
- Patients with skeletal Class II malocclusion with a normal maxilla and functionally retruded mandible.
- Class II molar and Class II canine relation.
- Overjet equal to or greater than 4 mm.
- ANB angle - Greater than 4.5 degrees
- Patient in active growth period, CVMI status – Stage 3 (transition) by Hassel and Farman vertebral index.
- Positive VTO on clinical evaluation.

Patients with class I and class III malocclusion and patients with Class II division 1 malocclusion due to involvement of maxillary component were excluded from the study.

Patients with moderate crowding and severe lower proclination in the dental arches, craniofacial syndromes or systemic disease or having any history of any orthodontic treatment were not included in the sample.

After the selection of cases, informed consent was obtained from each patient and pre-treatment lateral cephalogram of all the selected cases were taken. All the cephalograms were recorded with the same exposure parameters (kVp – 72, scan time of 1.2 sec) with the same magnification and the same machine (Planmeca, Finland) in the Department of Oral Medicine and Radiology, SPDC.

All the selected samples were treated with functional appliance. Patients were routinely monitored for regular wear
of the appliance and after 8 months of appliance delivery, post-treatment lateral cephalogram of all the treated cases were taken and the changes in tongue position compared thoroughly.

Various landmarks, reference planes and linear parameters used for the evaluation of the tongue position were based on methods described by Yassaei at al. Following points were traced in pre-treatment and post-treatment lateral cephalograms to determine positional changes of tongue. [Figures 1 and 2]

**Cephalometric landmarks:**
- Od: The most posterior point of the odontoid process of the second cervical vertebrae
- C4p: The most postero-inferior point on the 4th cervical vertebrae.
- Pt: The most posterior point on the contour of the tongue.
- Va (Valeculla): The deepest point of the valeculla.
- Tb: Intersection point between the lower border of the mandible and contour of the tongue.

**Reference lines:**
- CL (cervical line): line connecting Od and C4p
- CHL (cervical horizontal line): perpendicular line from Od to cervical line.

Tongue position:
- Pt-CL: perpendicular distance from Pt to the cervical line. This variable indicates tongue position in horizontal plane.
- Pt-CHL: perpendicular distance from Pt to the cervical horizontal line. This variable indicates tongue position in vertical plane.
- Va-CL: perpendicular distance from valeculla to the cervical line. This variable indicates tongue position in horizontal plane.
- Va-CHL: perpendicular distance from valeculla to the cervical horizontal line. This variable indicates tongue position in vertical plane.

**Reliability:**
All the lateral cephalograms were traced twice by hand onto acetate tracing paper. If the difference exceeded 1mm or 1 degree, third measurement was taken and the middle value of the two nearest measurements was used.

**STATISTICAL ANALYSIS**
A master file was created, and the data was statistically analyzed on a computer with Statistical Package for Social Sciences (version 11.5) (Chicago: SPSS Inc). The data was subjected to descriptive analysis for mean and standard deviation. Paired-t test was used to analyze the significance of differences in mean value before (T1) and after (T2) treatment. A value of p<0.05 is considered as significant.

**RESULTS**
The mean values, standard deviations of all cephalometric variables at pre and post-treatment are presented in Table 1. When comparison was done between pre-treatment and post-treatment values, statistically significant differences were found in SNB angle (p= 0.00) and ANB angle (p= 0.00). No significant difference was seen in SNA angle (p = 0.163).

In horizontal plane, statistically significant differences were found when measuring distance of point Pt from CL plane, Pt-CL (p<0.001) and point Va from CL plane, Va-CL (p<0.001).

In vertical plane, statistically significant differences were found when measuring distance of point Pt from CHL plane, Pt-CHL (p<0.001) and point Va from CHL plane, Va-CHL (p<0.001).

There was a significant interrelationship between decrease of ANB angle and forward movement of tongue.

**DISCUSSION**
The growth of each compartment of the craniofacial system is integrated with that of others, and coordinated growth is required for normal development to occur. Growth and function of the tongue, nasal cavities, the nasopharynx and the oropharynx are closely associated with the normal growth of the craniofacial complex. As the root of the tongue is attached to mandible and hyoid bone, therefore the growth and spatial displacement of the adjoining skeletal components governs the position and size of the tongue.

The tongue has been an area of interest in orthodontics, with topics such as the relationships between different skeletal malocclusions and tongue position, function and posture and the clinician’s potential to modify them. Retro-positioning of the tongue has been increasingly recognized as the most commonly found physiological characteristic in growing patients of Class II division 1 malocclusion with mandibular retrusion.

An unfavourable tongue position established early in life may predispose one to future sleep-disordered breathing, when subsequent soft tissue changes caused by age, obesity, or genetic background further reduce the available oropharyngeal airway. Therefore, it can only be regarded as beneficial if functional appliance treatment in children results in improvement in tongue position. Thus, the proper
positioning of tongue will eliminate the possible effect of an impaired oropharyngeal airway function as an etiological factor for abnormalities in facial structures and might even modify the vertical and/or sagittal growth pattern of the craniofacial complex.

This study investigated the changes in tongue position in patients following treatment with functional appliances.

Tongue position in horizontal dimension: The results of this study indicate that following treatment with functional appliance, the tongue repositions significantly forward and these results are in accordance with the result of Zhou’s study. S Yassei et al also found similar results in their study on 28 patients with Class II division 1 malocclusion in growing age.

The tongue moves forward with age. However, according to Ordoubazari who studied over 9-14, 18-30 age groups, he reported that forward reposition of tongue with age was minor. In this study, we found that there was a significant forward repositioning of tongue. This can be because of muscle attachments. Since the genioglossus muscle originates at the inner surface of the mandibular symphysis and inserts into the tongue, it acts as the main protruder of the tongue along with an accessory respiratory function, thus resulting in advancement of the base of the tongue and dilation of the upper airway. Therefore it could be claimed that treatment with functional appliance leads to significantly forward alteration in tongue.

Also the decrease of ANB angle was significantly correlated with forward movement of tongue. There was significant correlation between forward movement of tongue with increase in size of oropharynx in sagittal dimension.

Thus we can say that treatment with functional appliance in Class II division 1 malocclusion improves the patency of airway, which in future, will result in decrease incidence of Obstructive sleep apnea.

In vertical dimension: According to the results of this study, following treatment with functional appliances, the position of the tongue moved significantly in a downward direction. This is in contrast to findings by S Yassei et al who found no significant downward movement of tongue following treatment with functional appliance.

Due to increase in vertical dimension during bite registration of functional appliance, the mandible moves downward due to molar extrusion, taking tongue along with it, thereby repositioning it in vertical direction.

CONCLUSIONS

Our results clearly suggest the existence of a relationship between functional orthopaedic treatment and improvement in tongue position in skeletal Class II growing subjects. In the results of this study, the tongue moved significantly forward in horizontal direction and downward in vertical direction. Corresponding with the decrease of ANB angle, there was a significant correlated forward movement of tongue. Thus treatment with functional appliance leads to significant alterations in tongue position.

This study helps in gaining knowledge about the effectiveness of functional appliances in altering the position of tongue during treatment of Class II division 1 malocclusion and also establishes the interdependence of Class II division 1 malocclusion and position of tongue.

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REFERENCES

Kumar et al.: Assessment of changes in tongue position in class II division 1 patients treated with functional appliances - an in-vivo study


Table 1: Comparison of tongue position before and after functional therapy by paired ‘t’ test

<table>
<thead>
<tr>
<th>Measurements</th>
<th>N</th>
<th>PRE (T1)</th>
<th>POST (T2)</th>
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<td></td>
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<td>SD</td>
<td>Mean</td>
<td>SD</td>
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*p<0.001: Highly Significant

Figure 1: Landmarks identified on lateral cephalogram, Yellow line: Cervical line (CL) Blue line: Cervical Horizontal Line (CHL)

Figure 2: Measurements done on manual tracing, Yellow line: Cervical line (CL) Blue line: Cervical Horizontal Line (CHL)